Maryland Financial Consumer Protection Commission

Agenda
Wednesday, October 10, 2018, 1:00 p.m.
3E Senate Office Building, Annapolis

• Chair’s Opening Remarks

• Discussion on U.S. Department of Labor Rule and any Actions of SEC in Addressing Conflicts of Interest in Broker–Dealers Offering Investment Advice by Aligning the Standard of Care for Broker–Dealers with that of the Fiduciary Duty of Investment Advisors (recommendations for changes to State law to provide protection intended by the rule)

Barbara Roper: Director of Investor Protection, Consumer Federation of America

Melanie Lubin: Securities Commissioner, Securities Division, Office of the Attorney

Lisa J. Bleier: Managing Director and Associate General Counsel, Federal Government Relations, Securities Industry and Financial Markets Association (SIFMA)

• Discussion on CFPB Arbitration Rule and the Model Consumer and Employee Justice Enforcement Act (recommendations for changes to State law to provide protection intended by the Act)

Jane Santoni: Santoni, Voci & Ortega, LLC

Lauren Saunders: Associate Director, National Consumer Law Center

Karla Gilbride: Staff Attorney, Public Justice

• Discussion on Regulation of Student Loan Servicers (recommendations for changes to State law to expand regulation beyond the 2018 law that establishes a Student Loan Ombudsman and requires servicers to designate an individual to represent the servicer in communications with the ombudsman)

Jen Diamond: Communications and Program Manager, Maryland Consumer Rights Coalition

Chris Madaio: Assistant Attorney General, Office of the Attorney General

Whitney Barkley: Senior Policy Counsel, Center for Responsible Lending

• Commission Discussion: Recommendations for Legislative Changes

Data Breaches on Merchants and Processors
Mortgage Lending Consumer Protections for Buyers of Retail Manufactured Homes

Members of the public are invited to submit written testimony to this email: FCPC@mlis.state.md.us

More information on this Commission may be found on the Maryland Department of Legislative Services website: